



Patent
Attorney Docket No. 033018-113

IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Rajiv GUPTA et al.

Group Art Unit: 3743

Application No.: 10/654,980

Examiner: A. M. Ragonese

Filing Date: September 5, 2003

Confirmation No.: 5640

Title: AEROSOL GENERATING DEVICES AND METHODS FOR GENERATING AEROSOLS HAVING
CONTROLLED PARTICLE SIZES

FOURTH
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a FOURTH Information Disclosure Statement and accompanying form
PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.
- ☐ Charge _____ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
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By

Peter K. Skiff

Registration No. 31,917

Date: June 24, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
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Rajiv GUPTA et al.) Group Art Unit: 3743
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Application No.: 10/654,980) Examiner: A. M. Ragonese
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For: AEROSOL GENERATING DEVICES)
AND METHODS FOR GENERATING)
AEROSOLS HAVING CONTROLLED)
PARTICLE SIZES)

FOURTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit a copy of the International Preliminary Examination Report dated June 18, 2004 for a corresponding application. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents not previously cited is enclosed.

Notification of Transmittal of International Preliminary Examination Report
dated June 18, 2004 for PCT/US03/27730.

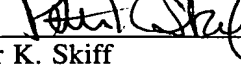
I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than 30 (thirty) days prior to the filing of this Information Disclosure Statement.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date June 24, 2004
P.O. Box 1404
Alexandria, VA 22313-1404
(703) 836-6620

By: 
Peter K. Skiff
Registration No. 31,917

FOURTH INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Sheet	1	of	1										
<table border="1"> <tr> <td>Application Number</td> <td>10/654,980</td> </tr> <tr> <td>Filing Date</td> <td>September 5, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Rajiv GUPTA et al.</td> </tr> <tr> <td>Examiner Name</td> <td>A. M. Ragonese</td> </tr> <tr> <td>Attorney Docket Number</td> <td>033018-113</td> </tr> </table>				Application Number	10/654,980	Filing Date	September 5, 2003	First Named Inventor	Rajiv GUPTA et al.	Examiner Name	A. M. Ragonese	Attorney Docket Number	033018-113
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Examiner Name	A. M. Ragonese												
Attorney Docket Number	033018-113												



U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Notification of Transmittal of International Preliminary Examination Report dated June 18, 2004 for PCT/US03/27730

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.